


FEDERAL PERMIT APPLICATION FOR VESSELS FISHING IN THE EXCLUSIVE ECONOMIC ZONE (EEZ) U.S. DEPARTMENT OF COMMERCE, NOAA NMFS PERMITS TEAM, F/SER22 9721 EXECUTIVE CENTER DRIVE N. ST. PETERSBURG, FL 33702 727/570-5326 (8am - 4:30pm EST)				<div>OMB No. 0648-0205 Approval Expires: 12/31/2003</div> <div></div>					
				FOR OFFICE USE ONLY					
				CHECK/MONEY ORDER INFO:			EXP. DATE:		
				FLOY TAG CHECK/MONEY ORDER NO.:		REVIEWER'S INITIALS:			
				NON-REPORTING HOLD DATE		VIOLATION HOLD DATE:		QUALIFIER:	
				CLEARED DATE/INITIALS:					
				GEARS:		FISHERIES:			
				1. 2. 3. 4.		1. 2. 3. 4.			
SECTION 1 VESSEL INFORMATION (please print legibly or type) Coast Guard Doc. and/or State Registration must be provided									
NAME OF VESSEL			CG DOC. OR STATE REG. NO. (OFFICAL NUMBER)			HULL IDENTIFICATION NUMBER			
LENGTH (FT.)		ENGINE HORSEPOWER		HOLD CAPACITY (TONS)		GROSS & NET TONNAGE			
						HULL TYPE			
HOME PORT (CITY & STATE)				FUEL CAPACITY (Gal.)		YEAR BUILT			
						PASSENGER CAPACITY			
SECTION 2 DOCUMENTED/STATE REGISTERED OWNER/INCOME QUALIFIER INFORMATION If additional space is needed, please attach a separate sheet of paper if more than three owners.									
OWNER/QUALIFIER NAME (If Individual, last name/first name/middle name)					DATE OF BIRTH		DATE CORPORATION FILED		
					MONTH		DAY YEAR		
MAILING ADDRESS				CITY					
COUNTY			STATE	ZIP CODE		FEDERAL ID NO.			
						TELEPHONE			
2 ND OWNER NAME (if applicable) (If Individual, last name/first name/middle name)					DATE OF BIRTH		DATE CORPORATION FILED:		
					MONTH		DAY YEAR		
3 RD OWNER NAME (if applicable) (If Individual, last name/first name/middle name)					DATE OF BIRTH		DATE CORPORATION FILED:		
					MONTH		DAY YEAR		
SECTION 3 OPERATOR INFORMATION ONLY IF REQUIRED									
OPERATOR LAST NAME			FIRST		MIDDLE		AREA CODE/PHONE NO.		
ADDRESS					CITY				
STATE	ZIP CODE		FEDERAL ID NO.			DATE OF BRTH:			
						MONTH DAY YEAR			
SECTION 4 LEASE INFORMATION									
LESSEE NAME (If Individual, last name/first name/middle name)					INDIVIDUAL CORPORATION		AREA CODE/PHONE NO.		
ADDRESS					CITY				
STATE	ZIP CODE		FEDERAL ID NO.		LESSEE DATE OF BIRTH		LEASE EXPIRATION DATE:		
							MONTH DAY YEAR		
SECTION 5 RED SNAPPER CLASS 1 LICENSE TRANSFER INFORMATION									
RED SNAPPER LICENSE HOLDER NAME (if individual, last name, first name, middle name)					DATE OF BIRTH		DATE CORP		
							AREA CODE/PHONE NO.		
ADDRESS									
CITY						STATE			
						ZIP CODE			
SECTION 6 HISTORICAL CAPTAIN INFORMATION FOR GULF OF MEXICO CHARTER/HEADBOAT									
HISTORICAL CAPTAIN LAST NAME			FIRST		MIDDLE		AREA CODE/PHONE NO.		
ADDRESS									
CITY			STATE		ZIP CODE		DATE OF BIRTH		
							MONTH DAY YEAR		
SECTION 7 COMPLETE IF VESSEL IS CORPORATE OWNED OR PARTNERSHIP If applying for a PERMIT and the vessel owner is a corporation or partnership, provide the names, addresses, and dates of birth of the shareholders or partners on the lines provided. If more space is needed, please attach an additional sheet of paper. 1. _____ 2. _____ _____ _____ _____									
PERMIT(s) SELECTION AND ADDITIONAL INFORMATION ON REVERSE									

SECTION 8 PREDOMINANT GEAR AND FISHERIES (Data collection information)				
This vessel is best described as:		Charter (CH)	Commercial (CM)	Headboat (HB)
Select by letter up to 4 gears used by this vessel (1. most important - 4. least important)		Select by letter up to 4 fisheries in which this vessel participates. List fisheries in the order of the value of fish sold.		
1. _____		1. _____		3. _____
2. _____		2. _____		4. _____
a. Shrimp Trawl		a. Reef Fish		f. Stone Crab
b. Fish Trap/Pot		b. King Mackerel		g. Spiny Lobster
c. Gillnet		c. Shark		h. Shrimp
d. Reef Fish Bandit		d. Spanish Mackerel		i. Other (specify)
e. Hand/Troll Line		e. Swordfish/Tuna		_____
f. Rod & Reel				
3. _____				
4. _____				
g. Surface Longline				
h. Bottom Longline				
i. Lobster Trap				
j. Diver				
k. Other (specify)				

SECTION 9 SELECT TYPE OF CHARTER/HEADBOAT/COMMERCIAL FISHERY(IES)	
<i>\$50.00 FOR FIRST FISHERY SELECTED; \$20.00 FOR EACH ADDITIONAL FISHERY SELECTED.</i>	
OPEN ACCESS CHARTER/HEADBOAT AND COMMERCIAL PERMITS	
SOUTH ATLANTIC CHARTER/HEADBOAT FOR COASTAL MIGRATORY PELAGIC (CHS)	
SOUTH ATLANTIC CHARTER/HEADBOAT SNAPPER-GROUPER (SC)	
GULF OF MEXICO COASTAL MIGRATORY PELAGIC CHARTER/HEADBOAT (CH) (NOT VALID AFTER NOVEMBER 13, 2003)	
GULF OF MEXICO REEF FISH CHARTER/HEADBOAT (RC) (NOT VALID AFTER NOVEMBER 13, 2003)	
SPINY LOBSTER (LC) (Not required for the EEZ off Florida)	
SPINY LOBSTER TAILING (LT)	
SOUTH ATLANTIC ROCK SHRIMP (RS)	
SPANISH MACKEREL (SM)	
CHARTER/HEADBOAT AND COMMERCIAL PERMITS WITH LIMITED ACCESS/MORATORIUM	
RENEWAL	
TRANSFER	
GULF OF MEXICO CHARTER/HEADBOAT FOR COASTAL MIGRATORY PELAGIC (CHG)	
GULF OF MEXICO CHARTER/HEADBOAT FOR REEF FISH (RCG)	
HISTORICAL CAPTAIN GULF OF MEXICO CHARTER/HEADBOAT FOR COASTAL MIGRATORY PELAGIC (HCHG)	
HISTORICAL CAPTAIN GULF OF MEXICO CHARTER/HEADBOAT FOR REEF FISH (HRCG)	
KING MACKEREL (KM, KT)	
GILLNET ENDORSEMENT FOR KING MACKEREL (GN, TGN)	
GULF OF MEXICO REEF FISH (RR, RT, RRE, RTE)	
RED SNAPPER CLASS 1 LICENSE (2000lb) (L1, TL1)	
RED SNAPPER CLASS 2 LICENSE (200 lb) (L2, TL2)	
SOUTH ATLANTIC ROCK SHRIMP (RSE)	
UNLIMITED SOUTH ATLANTIC SNAPPER-GROUPER EXCLUDING WRECKFISH (SG1, ST1, TSG1, TST1)	
225 lbs. TRIP LIMIT SOUTH ATLANTIC SNAPPER-GROUPER EXCLUDING WRECKFISH (SG2, ST2, TSG2, TST2)	
SWORDFISH DIRECTED (SFD, TSFD)	
SWORDFISH HANDGEAR (SFH, TSFH)	
SWORDFISH INCIDENTAL (SFI, TSFI)	
SHARK DIRECTED (SKD, TSKD)	
SHARK INCIDENTAL (SKI, TSKI)	

SECTION 10 COMPLETE THIS SECTION ONLY IF YOU FISH WITH TRAPS/POTS IN THE REEF FISH OR SNAPPER-GROUPER FISHERIES		
If you have an existing color code for any trap/pot fishery, list here.		
COLOR CODE: _____		
If no existing color code, insert choice (white is not an acceptable color code): _____		
Trap/Pot Information: Gulf of Mexico Reef Fish (GOM) or South Atlantic Snapper-Grouper (SA) Fishery.		
(GOM)		
_____	_____	_____
No. of traps	Length x Width x Height (in.)	Mesh Sizes (in.)
(SA)		
_____	_____	_____
No. of pots	Length x Width x Height (in.)	Mesh Sizes (in.)

SECTION 11 SIGNATURE (All applications must be signed and dated)		
NOTE: Income qualifier as listed in Section 2 for owner/qualifier, must sign the application.		
The undersigned certifies that he/she (1) meets any applicable earned income requirement for a requested permit(s), as shown on the attached instructions; (2) if a shark permit is received, agrees that shark fishing, catch and gear are subject to the shark regulations at 50 CFR part 635.5, without regard to where such shark fishing occurs or where such shark or gear are possessed, taken or landed; and (3) if a spiny lobster tailing permit is requested, routinely conducts commercial fishing activity in Federal waters on trips of 48 hours or more and such fishing activity requires the separation of carapace and tail to maintain a quality product.		
Owner's Signature	Operator's Signature (if required)	Date:
Name: (print legibly or type)		Position, if owner's a corporation/partnership:

ACTIVITIES FOR WHICH PERMITS ARE REQUIRED

GULF COASTAL MIGRATORY PELAGIC CHARTER - For a person aboard a vessel that is operating as a charter vessel or headboat to fish or possess a coastal migratory pelagic fish in or from Federal waters in the Gulf of Mexico, a charter permit must be issued to the vessel and be on board. Permits for coastal migratory pelagic charter are under a moratorium. No new vessel may be permitted except by transfer of a valid (not expired) permit. To transfer a permit, the original valid (not expired) permit must be returned with an application. **Permits must be renewed within one year of the expiration date.**

GULF REEF FISH CHARTER - For a person aboard a vessel that is operating as a charter vessel or headboat to fish for or possess a reef fish in or from Federal waters of the Gulf of Mexico, a charter permit must be issued to the vessel and be on board. Permits for Gulf of Mexico reef fish charter are under a moratorium. No new vessel may be permitted except by transfer of a valid (not expired) permit. To transfer a permit, the original valid (not expired) permit must be returned with an application. **Permits must be renewed within one year of the expiration date.**

COASTAL MIGRATORY PELAGIC CHARTER (South Atlantic) OR SNAPPER-GROUPER CHARTER (South Atlantic) - For a person aboard a vessel that is operating as a charter vessel or headboat to fish for or possess a coastal migratory pelagic or snapper-grouper in or from Federal waters off the southern Atlantic states, a charter permit must be issued to the vessel and be on board.

SPANISH MACKEREL - For a person aboard a vessel in Federal waters to be eligible for exemption from the bag limits and to fish under a commercial allocation for Spanish mackerel, a commercial permit must be issued to the vessel and be on board. At time of application income criteria must be submitted. *If applying for a spanish mackerel permit income from one of three years prior to application must be submitted at time of application. See Earned Income or Gross Sales Requirements for Commercial Permits.*

SPINY LOBSTER - For a vessel fishing in Federal waters off states other than Florida to be exempt from the daily catch and possession limit, a commercial spiny lobster permit must be issued to the vessel and be on board. Florida's requirements apply to a vessel fishing in Federal waters off Florida. To possess a separated spiny lobster tail in or from Federal waters, a tail-separation permit must be issued to the vessel and be on board. *If applying for a commercial spiny lobster permit income from the previous calendar year must be submitted at time of application.*

ROCK SHRIMP (South Atlantic) - For a person aboard a fishing vessel to fish for rock shrimp in Federal waters or possess rock shrimp in or from Federal waters, a vessel permit must be issued to the vessel and be on board.

KING MACKEREL - For a person aboard a vessel in Federal waters to be eligible for exemption from the bag limits and to fish under a commercial allocation for king mackerel, a commercial permit must be issued to the vessel and be on board. Permits for king mackerel are under a moratorium. No new vessel may be permitted except by transfer of a valid (not expired) permit. To transfer a permit, the original valid (not expired) permit must be returned with the application. **Permits must be renewed within one year of the expiration date.**

GILLNET ENDORSEMENT FOR KING MACKEREL - For a person aboard a vessel in Federal waters to use a run-around gillnet for king mackerel in the southern Florida west coast subzone, a commercial permit for king mackerel with a gillnet endorsement must be issued to the vessel and be on board. Permits for gillnet endorsement for king mackerel are under a moratorium. No new vessel may be permitted except by transfer of a valid (not expired) permit. To transfer a permit, the original valid (not expired) permit must be returned with the application.

REEF FISH (Gulf of Mexico) - As a prerequisite to sell reef fish in or from Federal waters and to be eligible for exemption from the bag limits, a commercial permit must be issued to the vessel and be on board. Permits for the reef fish fishery are under a moratorium. No new vessels may be permitted except by transfer of a valid (not expired) permit. To transfer a permit, the original valid (not expired) permit must be returned with the application. **Permits must be renewed within one year of the expiration date.**

LIMITED ACCESS ROCK SHRIMP ENDORSEMENT (South Atlantic) - For a person aboard a fishing vessel to fish for rock shrimp in Federal waters from the Virginia/North Carolina border to the East Coast of Florida, or possess rock shrimp in or from Federal waters, a vessel permit for rock shrimp must be issued to the vessel and be on board. However, to participate in that fishery off Georgia and the east coast of Florida, a limited-access endorsement for South Atlantic rock shrimp is required. Limited access endorsement applicants must have had valid Federal permits for rock shrimp on or before December 31, 2000, as identified from NMFS permit records, and had landings of rock shrimp from the South Atlantic EEZ of at least 15,000 pounds during any one of the calendar years 1996 through 2000. Only landings when a vessel had a valid Federal rock shrimp permit, that were harvested from the South Atlantic EEZ, and that were landed and sold in compliance with state and Federal regulations would be used to establish eligibility. If a limited-access endorsement application is based on qualifying landings that were transferred through a written agreement, the application must be accompanied by a copy of that agreement and a statement of the costs associated with obtaining to the transfer of the catch history. **A qualified applicant who desires to transfer the rights to an initial endorsement to the owner of a vessel must submit an application requesting such transfer.** Such transfer of rights will include transfer of credit for the vessel's entire record of landings of rock shrimp from the South Atlantic during the time of the qualified applicant's ownership.

SNAPPER-GROUPER EXCLUDING WRECKFISH (South Atlantic) - For a person aboard a vessel in Federal waters to be eligible for exemption from the bag limits, to engage in a directed fishery for tilefish or to use a sea bass pot, a commercial permit must be issued to the vessel and be on board. Permits for the South Atlantic snapper-grouper fishery are under a moratorium. No new vessels may be permitted except by transfer of a valid (not expired) permits. A person desiring to acquire a limited access transferable permit for South Atlantic snapper-grouper must obtain and exchange two such permits for one new permit. The original valid (not expired) permits must be returned with the application. **Permits must be renewed within 60 days of the expiration date.**

SHARK - As a prerequisite to sell a shark in or from Federal waters and to be eligible for exemption from the bag limits, a commercial permit must be issued to the vessel and be on board. Permits for the shark fishery are under a moratorium.. No new vessels may be permitted except by transfer of a valid (not expired) limited entry permit. To transfer a permit, the original valid (not expired) permit must be returned with the application. **Permits must be renewed within one year of the expiration date.**

SWORDFISH - To fish for or possess swordfish in or from the North Atlantic Ocean, or take swordfish as bycatch, whether or not retained, a commercial permit must be issued to the vessel and be on board. Permits for the swordfish fishery are under a moratorium. No new vessels may be permitted except by transfer of a valid (not expired) limited entry permit. To transfer a permit, the original valid (not expired) permit must be returned with the application. **Permits must be renewed within one year of the expiration date.**

INSTRUCTIONS (Incomplete or illegible applications will be returned.)

1. Blocks in Sections 1, 2, 8, 9 and 11 must be completed or application will be returned.
2. The application fee is **\$50 for one fishery and \$20 for each additional fishery** and is **non-refundable**. A check or money order payable to the **U.S. TREASURY** must accompany each application.
3. Mail the application, **copy of current Coast Guard Certificate of Documentation/state registration (must be provided with every application, even if state registration has a multiple year expiration date)**, check(s)/money order(s), if vessel is owned by a corporation, must provide a copy of ACTIVE Articles of Incorporation and , if required, income tax forms/schedules or fish receipts to: **NMFS (F/SER22), 9721 EXECUTIVE CENTER DRIVE N., ST. PETERSBURG, FL 33702**. Questions may be phoned to 727/570-5326 between 8am - 4:30pm EST. If you would like your permit and associated documents returned to you via overnight mail, enclose a **FEDERAL EXPRESS** air bill, complete with your delivery address (no PO Box numbers), telephone number, and your FEDEX account number or credit card number with expiration date.
4. If renewing or transferring a Gulf charter/headboat permit, a copy of the U.S. Coast Guard Operator of Uninspected Passenger Vessel license (commonly referred to as a 6-pack license) or a USCG Masters license must be provided, along with any other requested information. A USCG Certificate of Inspection must be provided if passenger capacity is greater than 6 passengers.
5. Each vessel issued a South Atlantic rock shrimp permit (regardless of whether or not issued the limited access endorsement), when at sea or offloading, is required to have on board at least one person who has a Federal operator permit card issued by the Southeast Regional Office. NMFS will mail operator permit card application forms to federally-permitted South Atlantic rock shrimp vessel owners. Operator permit card applications also are available upon request by calling (727) 570-5326 between the hours of 8:00 am to 4:30 pm (EST).

In accordance with Federal regulations, any change in your permit information must be reported to the NMFS Regional Administrator within 30 days of the change.

SECTION 1 Enter name, official number, and length of vessel as they appear on the Coast Guard documentation or, if not documented, on the state registration certificate. Under "Home Port", enter the city and state where the vessel is customarily kept, not necessarily the home port on a certificate of documentation. Provide the length, engine horsepower, hold capacity(tons), and gross and net tonnage must be provided or the application will be returned.

SECTION 2 Enter the information of the person shown as the "**owner/qualifier**" from the Coast Guard documentation or, if not documented, from the state registration certificate. If more than one owner, please list additional owners in space provided for 2nd or 3rd owners and if additional space is needed, please attach additional sheet of paper. If owner/qualifier is an individual select the box marked date of birth and if the person shown as the "**owner**" is a corporation or partnership, enter the Federal ID number and and select the box with date the corporation/partnership was formed. If a corporate owned vessel, submit ACTIVE Articles of Incorporation along with other requested information. If already on file, a copy of the active annual report. If corporations are in an INACTIVE status, permits will not be issued. **When renewing, corrections should be made by drawing a line through any incorrect information and entering the correct information.**

SECTION 3 Complete this section only when the OPERATOR is required to meet the earned income requirement.

SECTION 4 Complete this section only when the vessel is being operated under a lease or other written management agreement that bestows control over the destination, function or operation of the vessel to a person other than the person shown in Section 2. Enter the date of expiration of the lease or written management agreement. If such lease or written management agreement exists, the controlling person is the owner for the purposes of the permit. Provide a copy of the signed (both parties) and dated lease agreement. We recommend that the lease agreement end at the end of a month.

SECTION 5 Complete this section only when you are placing your red snapper Class 1 license on the vessel being permitted.

SECTION 6 Complete this section if you are an historical captain under the Gulf of Mexico charter/headboat vessel moratorium. You must provide a copy of U.S. Coast Guard Operator of Uninspected Passenger Vessel license (commonly referred to as a 6-pack license) or a USCG Masters license, if passenger capacity is larger than a 6-pack a copy of USCG Certificate of Inspection must also be provided, if renewing, transferring, or redeeming the historical captain letter of eligibility.

SECTION 7 Complete this section if vessel is corporate or partnership owned.

SECTION 8 The information in this section is a data collection requirement and must be completed or application will be returned.

SECTION 9 Select only those fisheries that are required and for which the owner or operator qualifies, specifically including any applicable earned income requirement. Each permit obtained may entail additional reporting requirements, including a daily fishing vessel logbook record. **For renewal of applications or transfers, no permit(s) will be issued until all required logbook reports have been received in Miami.**

SECTION 10 Complete this section only if you fish with traps/pots in the reef fish fishery of the Gulf of Mexico or the snapper-grouper fishery off the southern Atlantic states. A **separate check or money order for trap/pot tags (\$1.30 per tag) is required for use in the reef fish and snapper-grouper fisheries payable to FLOY TAG**. Tags will be mailed directly to you from Floy Tag and will not accompany the permit package.

SECTION 11 The application must be signed by the vessel owner unless applying for a permit(s) which require earned income, then it must be signed by the **qualifying applicant**, i.e., the owner/qualifier, an officer or shareholder of a corporate-owned vessel, a general partner of a partnership-owned vessel or the operator.

TRANSFERS

1. If applying for a TRANSFER of a GULF OF MEXICO REEF FISH, KING MACKEREL, or GILLNET ENDORSEMENT FOR KING MACKEREL PERMIT, please provide the following information:

2. New owners of Limited Access/Moratorium permits must meet the earned income requirement not later than the first full calendar year after the issuance of the permit.

- **Transfer of Gulf of Mexico Reef Fish, King Mackerel, or Gillnet Endorsement for King Mackerel Permit - Same Owner:** An owner of a permitted vessel may transfer the vessel permit to another vessel owned by same owner by returning the original permit with an application for a vessel permit for the replacement vessel.
- **Transfer of Gulf of Mexico Reef Fish or King Mackerel Permit - New Owner:** A person purchasing a vessel with a permit or purchasing a permit only may transfer the permit, **if the permit has not expired**, and renew it for the first calendar year after the purchase without meeting the earned income requirement, provided the previous owner (not operator) met that requirement. To transfer, original permit with valid (not expired) expiration date, signatures of all parties on back of permit, and a copy of the vessel documentation or state registration must accompany a completed application.
- **Transfer of Gillnet Endorsement for King Mackerel Permit - New Owner** - This permit may be transferred upon change of ownership of a permitted vessel with such endorsement from one to another of the following: husband, wife, son, daughter, brother, sister, mother, or father.

2. If applying for a TRANSFER of Red Snapper License, please provide the following information:

- **Transfer of Red Snapper Class 1 or Class 2 Licenses - Same Owner:** To transfer license to same owner, different vessel, no signatures are required. You must submit original permit, written request, and a \$50 check/money order as to where you want to transfer permit.
- **Transfer of Red Snapper Class 1 or Class 2 Licenses - New Owner** - If transferring to a new owner, original permit with signatures on back, written request if vessel currently holds existing permits. If vessel does not hold a current permit, must submit an application, original permits with signatures on back, copy of current CG Documentation or State Registration.
- **Red Snapper Class 1 License Placement:** If individual is placing their Class 1 Red Snapper license on a boat that already holds Federal permits, they need to send a written request, and the original Red Snapper license. If individual is placing their Class 1 Red Snapper license on a vessel owned by a another entity, they must submit an application, check/money order, vessel Coast Guard Documentation or State Registration of vessel owner, and original permit.

3. If a qualified applicant wishes to TRANSFER the rights of a LIMITED ACCESS ATLANTIC ROCK SHRIMP ENDORSEMENT

- **Transfer of Rock Shrimp Endorsement - New Owner** - If transferring to a new owner, must submit an application if vessel holds no other permits, copy of CG Documentation or State Registration, if not documented, original endorsement with signatures on back, and check/money order. If vessel owner already holds permits, a written request, original endorsement and check/money order for transfer.
- **Transfer of Rock Shrimp Endorsement - Same Owner** - If transferring rights to same owner different vessel and vessel already holds permits, a written request, original permit, and check/money order. If vessel holds no permits, an application, copy of CG Documentation or state registration, if not documented, original endorsement, and check/money order.

4. If applying for a TRANSFER of a SOUTH ATLANTIC SNAPPER-GROUPER PERMIT, please provide the following information:

- **Transfer of Unlimited Permit - Same Owner:** An owner of a permitted vessel may transfer the vessel permit to another vessel owned by the same owner by returning the original permit with an application for a vessel permit for the replacement vessel.
- **Transfer of Unlimited Permit - New Owner:** A person desiring to acquire a limited access transferable permit for South Atlantic snapper-grouper permit must obtain and exchange two such permits for one new permit. The two original permits with **valid (not expired) (not expired) expiration date**, signatures of both parties on back and a copy of the vessel documentation or state registration must accompany a completed application.
- **Transfer of 225-pound Trip Limit Permit - Same Owner:** An owner of a vessel with a trip limit permit may request that the RA transfer the permit to another vessel owned by the same entity by returning the original permit with an application for a vessel permit for the replacement vessel.
- **Transfer of 225-pound Trip Limit Permit New Owner:** The permit is not transferable to a new owner. Can be transferred to different vessel same owner.

5. If applying for a TRANSFER of a Atlantic SHARK or SWORDFISH PERMIT, please provide the following information:

- **Transfer of Permit - Same Owner:** An owner of a permitted vessel may transfer the vessel permit to another vessel owned by same owner by returning the original permit with an application for a vessel permit for the replacement vessel. Such transfers would be subject to upgrading restrictions: **for swordfish handgear or swordfish and shark directed permits (cannot exceed 10% increase in length, gross or net tonnage and 20% increase in horsepower of initial vessel.** Swordfish handgear permits are transferable, but only for use with handgear.
- **Transfer of Permit - New Owner:** A person purchasing a vessel with a permit or purchasing a permit only may transfer the permit. To transfer, original permit with valid (not expired) expiration date, signatures of both parties on back of permit, and a copy of the vessel documentation or state registration must accompany a completed application. Such transfers would be subject to upgrading restrictions: **for swordfish handgear or swordfish and shark directed permits (cannot exceed 10% increase in length, gross or net tonnage and 20% increase in horsepower of initial vessel.** Swordfish handgear permits are transferable, but only for use with handgear.

6. If applying for a TRANSFER of a GULF COASTAL MIGRATORY PELAGIC CHARTER or GULF REEF FISH CHARTER permit, please provide the following information:

- **Transfer of Gulf Coastal Migratory Pelagic Charter or Gulf Reef Fish Charter Permit - Same Owner** - An owner of a permitted vessel may transfer the vessel permit to another vessel owned by the same entity by returning the original permit with an application for a vessel permit for the replacement vessel.

- **Transfer of Gulf Coastal Migratory Pelagic Charter or Gulf Reef Fish Charter Permit - New Owner** - A person purchasing a vessel with a permit or purchasing a permit only may transfer the permit, **if the permit has not expired**. To transfer, original permit with valid (not expired) expiration date, signatures of all parties on back of permit, and a copy of the vessel documentation or state registration, U.S. Coast Guard Operator of Uninspected Passenger Vessel license (commonly referred to as a 6-pack license) or a USCG Masters license, and copy of the vessel documentation or state registration must accompany a completed application.
- **Transfer of Historical Captain for Coastal Migratory Pelagic Charter or Gulf Reef Fish Charter** - A person with a historical captain endorsement may only transfer to another vessel that he/she operates, and cannot be transferred to a vessel with a higher authorized passenger capacity than the vessel from which the permits was transferred. To transfer, **original permit with valid (not expired) expiration date**, copy of his/her U.S. Coast Guard Operator of Uninspected Passenger Vessel license (commonly referred to as a 6-pack license) or a USCG Masters license, and copy of the vessel documentation or state registration must accompany a completed application.

NOTE: Upon transfer of a Gulf of Mexico moratorium Coastal Migratory Pelagics charter/headboat permit and/or Gulf of Mexico moratorium Reef Fish charter/headboat permit, the Federal charter/headboat **decal must be removed** from your vessel.

EARNED INCOME OR GROSS SALES REQUIREMENTS FOR COMMERCIAL PERMITS

	KING & SPANISH MACKEREL	REEF FISH (GULF OF MEXICO)	SPINY LOBSTER
Percentage of earned income	At least 25%	More than 50%	At least 10%
Gross sales alternative	More than \$10,000 in sales of fish	N/A	N/A
Source of earned income	Commercial, charter, or headboat	Commercial, charter, or headboat	Sale of catch
Time frame for qualification	One of three years prior to application	Either of two years prior to application	Year prior to application

The following fisheries do not require any earned income:

Charter for Coastal Migratory Pelagic Fish
Gulf of Mexico Charter/Headboat for Reef Fish
South Atlantic Charter for Snapper-Grouper
Spiny Lobster Tailing
South Atlantic Rock Shrimp
Unlimited South Atlantic Snapper-Grouper excluding Wreckfish
225 lbs. Trip Limit South Atlantic Snapper-Grouper excluding Wreckfish
Swordfish
Shark

Documentation of earned income must include the following information: all wages or salaries earned by the applicant; any business income or loss and type of business of the applicant; gross sales from fishing of a sole proprietorship business of the applicant; and net profit or loss from fishing of a sole proprietorship business of the applicant. The documentation must specify the calendar year for which it applies. Instead of the individual items of information specified above, the applicant may submit copies of those portions of the individual's income tax return that show those items, i.e., Pages 1 & 2 of Form 1040, W-2(s), Schedule C(s) and, if applicable, Schedule E, but should exclude information that is personally private such as social security numbers.

For a corporation/partnership owned vessel, percentage of earned income requirement must be met by an officer or shareholder of the corporation, a general partner or the vessel operator. When an officer/shareholder/partner documents his/her earned income from fishing, the corresponding corporate or partnership documented income, including shareholder's share of income and copy of Articles of Incorporation, must be submitted. The documentation must specify the calendar year for which it applies. Instead of these individual items of information, the officer/shareholder/partner may submit copies of his/her individual income tax return and the corresponding corporate or partnership income tax return, including Schedule K-1, but should obliterate information that is personally private such as social security numbers.

If using gross sales alternative, the applicant must submit copies of receipts indicating sales of fish harvested from the owner's, operator's, corporation's or partnership's vessel for a specified year (copy of Salt Water Products License (SPL) must be submitted). Instead of such receipts, the applicant may submit copies of those portions of the individual/corporation income tax return that show this information, i.e., Pages 1 & 2 of Schedule C, C-EZ, Form 1120, 1120A, 1120S or 1065, but should obliterate information that is personally private such as social security numbers.

Previously submitted documentation that meets the time frame for qualification need not be resubmitted. Renewal of a permit that was issued based on the earned income of an operator must be accompanied by new documentation of earned income when a new or additional operator meets the requirements. Copies of income tax forms and schedules and all information submitted for documentation or earned income from fishing or gross sales of fish are treated as confidential.

KNOWINGLY SUPPLYING FALSE INFORMATION OR WILLFULLY OVERVALUING ANY FISHING INCOME FOR THE PURPOSE OF OBTAINING A PERMIT IS A VIOLATION OF FEDERAL LAW PUNISHABLE BY A FINE AND/OR IMPRISONMENT.

Public reporting burden for this collection of information is estimated to average 20 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other suggestions for reducing this burden to Robert A. Sadler, National Marine Fisheries Service, F/SER22, 9721 Executive Center Drive N., St. Petersburg, FL 33702.

The National Marine Fisheries Service requires this information for the conservation and management of marine fishery resources. The data reported will be used to develop, implement, and monitor fishery management activities for a variety of other uses. Responses to this collection are required to obtain or retain a fisheries permit under the Magnuson - Stevens Act. All data submitted will be handled as confidential material in accordance with NOAA Administrative Order 216-100, Protection of Confidential Fishery Statistics. Notwithstanding any other provisions of the law, no person is required to respond to, nor shall any person be subjected to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.